**RETIREMENT PLAN ELECTION**
**Post-Doctoral Research Associates only**

CO-931 PD-II

This form should be completed for all currently employed Post Doctoral research associates at the University of Connecticut (excluding UConn Health) who were hired on or after November 19, 2021. The form must be signed by the employing agency and the employee and returned to the Retirement Services Division as soon as possible following the Post Doctoral Research Associate's employment date or post-hire enrollment date resulting from a recent eligibility status determination.

CHECK TYPES OF ACTIONS BEING SUBMITTED ON THIS FORM

## I. EMPLOYEE'S PERSONAL INFORMATION

| LAST NAME | FIRST NAME | MI | EMPLOYEE NO | SOCIAL SECURITY NO. | DATE OF BIRTH | GENDER ☐ MALE ☐ FEMALE |
|---|---|---|---|---|---|---|
| ADDRESS (No., Street, City, State, Zip Code) | | | | | | |
| MARITAL STATUS ☐ MARRIED ☐ SINGLE | DATE OF MARRIAGE | NAME OF SPOUSE | | | | |

## II. EMPLOYMENT INFORMATION

| EMPLOYING AGENCY | RECORD NO. | AGENCY ADDRESS | | |
|---|---|---|---|---|
| UConn-Storrs | | 9 Walters Ave., Storrs, CT 06269 | | |
| EMPLOYMENT DATE | BARG. UNIT | CORE-CT JOB CODE | EMPLOYMENT STATUS | TYPE STATUS |
| | 31 | AA9001 | ☐ Full-time ☐ Part-time | Durational |

## III. RETIREMENT ELECTON INFORMATION

☐ Option 1 – Alternate Retirement Program – employee contribution 5%, prospective only

☐ Option 2— Waiver of retirement plan membership

## IV. AGENCY SECTION

| EMPLOYEE CODE | START DATE ___/___/___ | |
|---|---|---|
| EMPLOYER CODE | START DATE ___/___/___ | |

## V. PARTICIPANT'S STATEMENT

I understand that this is an irrevocable decision, and I cannot at a later date select another retirement plan participation option.

| EMPLOYEE'S SIGNATURE | EMPLOYEE NUMBER | DATE |
|---|---|---|
| AUTHORIZED AGENCY SIGNATURE & TITLE | PHONE NO. 860-486-3034 | DATE |

**DESIGNATION OF RETIREMENT PLAN BENEFICIARY FORM FOR ACTIVE/INACTIVE MEMBERS**

CO-999 6/2018

STATE OF CONNECTICUT
OFFICE OF THE STATE COMPTROLLER
RETIREMENT SERVICES DIVISION

## I. EMPLOYEE PERSONAL INFORMATION

MEMBER STATUS: NEW MEMBER ☐ ACTIVE MEMBER ☐ INACTIVE MEMBER ☐

INACTIVE MEMBERS (ONLY):
NEW ADDRESS ☐ NAME CHANGE ☐

| LAST NAME | FIRST NAME | M.I. | EMPLOYEE NO. | SOCIAL SECURITY NUMBER | DATE OF BIRTH | GENDER MALE ☐ FEMALE ☐ |
|---|---|---|---|---|---|---|
| | | | | | | |

ADDRESS (Street No., Name) (City, State, Zip Code)

| MARITAL STATUS | DATE OF MARRIAGE | NAME OF SPOUSE |
|---|---|---|
| MARRIED ☐ SINGLE ☐ | | |

## II. BENEFICIARY DESIGNATION

- Type or PRINT clearly.
- You may name any living person, your estate, a trust, or a charitable organization as your beneficiary.
- At least one beneficiary must be named. If more than one primary beneficiary is named, the share of the beneficiary who dies before you shall be divided equally among the surviving beneficiaries.
- A payment is made to a contingent beneficiary(ies) only if all primary beneficiaries die before you do.
- If you survive all of the beneficiaries named, payment would be made to your estate.
- To designate a trust as beneficiary enter the name and date of the trust agreement in the Beneficiary section of this form; leave the Relationship and Social Security sections blank; and indicate Primary or Contingent.
- To designate your estate as beneficiary enter the word "Estate" in the beneficiary section of this form; leave the Relationship and Social Security sections blank; indicate Primary or Contingent.

Primary beneficiary(ies) must equal 100%. Contingent beneficiary(ies) must equal 100%. Please use whole percentages. If there are more than (4) beneficiaries designated, check the box to the right and attach an additional CO-999 form listing additional beneficiaries. ☐

| NAME OF BENEFICIARY PRIMARY ☐ | | | SOCIAL SECURITY NUMBER | NAME OF BENEFICIARY PRIMARY ☐ CONTINGENT ☐ | | | SOCIAL SECURITY NUMBER |
|---|---|---|---|---|---|---|---|
| Last Name | First Name | M.I. | | Last Name | First Name | M.I. | |
| ADDRESS (Street No., Name) | | | RELATIONSHIP | ADDRESS (Street No., Name) | | | RELATIONSHIP |
| (City, State, Zip Code) | | PERCENT | DATE OF BIRTH | (City, State, Zip Code) | | PERCENT | DATE OF BIRTH |

| NAME OF BENEFICIARY PRIMARY ☐ CONTINGENT ☐ | | | SOCIAL SECURITY NUMBER | NAME OF BENEFICIARY PRIMARY ☐ CONTINGENT ☐ | | | SOCIAL SECURITY NUMBER |
|---|---|---|---|---|---|---|---|
| Last Name | First Name | M.I. | | Last Name | First Name | M.I. | |
| ADDRESS (Street No., Name) | | | RELATIONSHIP | ADDRESS (Street No., Name) | | | RELATIONSHIP |
| (City, State, Zip Code) | | PERCENT | DATE OF BIRTH | (City, State, Zip Code) | | PERCENT | DATE OF BIRTH |

## III. MEMBER'S STATEMENT

I hereby revoke all previous appointments of beneficiaries made by me, if any, and designate the person(s) named above as beneficiary(ies) such person(s) to receive upon my death any and all sums due me from the Retirement System of which I am a member. This designation shall remain in effect unless I subsequently change it by written notice to the Retirement Services Division.

| EMPLOYEE'S SIGNATURE | DATE | |
|---|---|---|
| AUTHORIZED AGENCY SIGNATURE (& TITLE) | PHONE 860-486-3034 | DATE |

Forward completed form to: Retirement Services Division, Customer Service Center, 55 Elm Street, Hartford, CT 06106. Agency should retain one copy and provide one copy to employee.