

ARP Transition Fund Transfer Request

STATE OF CONNECTICUT ALTERNATE RETIREMENT PROGRAM (ARP)

Instructions

You should use this form to transfer an eligible amount from State of Connecticut ARP Plan with Prudential to the Connecticut's State Employees Retirement System (SERS) Tier II/Tier IIA Plan or SERS Hybrid Plan.

The form must be received by Prudential no later than December 14, 2018, 4:00 pm EST. Any form received after that date and time will not be processed.

You are required to utilize the following hierarchy to purchase past service credit:

- 1. State of Connecticut Alternate Retirement Program Pre Tax only (this includes TIAA ARP accounts)
- 2. State of Connecticut 403(b) or 457 Pre Tax only (this includes Connecticut 403(b) accounts with TIAA, Voya, Fidelity, and other legacy providers)

Call 1-833-390-1522 to make request over the phone.

Please print using black or blue ink. Keep a copy of this form for your records. Please send your completed request by fax (1-866-439-8602), email* (CTARPFund@prudential.com) or mail to:

Prudential

30 Scranton Office Park Scranton, PA 18507-1789

Questions?

Call 1-833-390-1522 for assistance

*Secure email recommended. This email address may only be used to submit the ARP Transition Fund Transfer Request Form. Any and all other requests sent to CTARPFund@prudential.com shall be treated as not Good Order.

About	Prudential Plan number Social Security number
You	<u> </u>
	First name MI Last name
	Daytime telephone number
	area code
	Are you still employed by the employer sponsoring the plan?
Investment Direction	I am electing to purchase service in Connecticut's State Employees Retirement System (SERS) Tier II/Tier IIA Plan of SERS Hybrid Plan, and I am authorizing Prudential to transfer the amounts shown below into the ARP Transition Fund while the State of Connecticut processes my transfer request. You must first use all assets in your ARP account. If the ARP assets are not sufficient for your estimated purchase, you may then use assets in your 457 and/or 403(b) account for purchasing service in the Connecticut's State Employees Retirement System (SERS) Tier II/ Tier IIA Plan or SERS Hybrid Plan. I elect to transfer funds from my ARP account to
	☐ TOTAL ACCOUNT VALUE OR ☐ PARTIAL AMOUNT \$



Investment Direction (continued)

When completing this section, you must indicate the whole percentages to be exchanged from the investment options listed below and the ARP Transition Fund. If the exchange requires more than one "from" to the ARP Transition Fund, you must complete as many lines as necessary to describe your transaction. Please use full investment option name when detailing your exchange.

ъ.	Or Dollar				
Percent	Amount		Investment Options		
		_ from	XT Connecticut Stable Value Fund	to	7Z ARP Transition Fund
		from	C3 MetWest Total Return Bond Fund	to	7Z ARP Transition Fund
		from	3T Vanguard® Total Bond Market Index Fund - Institutional	to	7Z ARP Transition Fund
		from	D1 Vanguard® Inflation-Protected Securities Fund - Inst	to	7Z ARP Transition Fund
		from	RG Calvert Bond Portfolio - Class I	to	7Z ARP Transition Fund
		from	WR Vanguard® Institutional Index Fund - Institutional Plus	to	7Z ARP Transition Fund
		from	KV American Funds American Mutual Fund® - Class R-6	to	7Z ARP Transition Fund
		from	CB TIAA-CREF Social Choice Equity Fund - Institutional Class	to	7Z ARP Transition Fund
		from	2L TIAA-CREF Large-Cap Growth Index Fund	to	7Z ARP Transition Fund
		from	SB TIAA-CREF Equity Index Fund - Institutional Class	to	7Z ARP Transition Fund
		from	4K Fidelity VIP Contrafund Portfolio - Initial Class	to	7Z ARP Transition Fund
		from	SR Wells Fargo Premier Large Company Growth Fd - Inst	to	7Z ARP Transition Fund
		from	4L Fidelity VIP Mid Cap Portfolio - Initial Class	to	7Z ARP Transition Fund
		from	RR Vanguard® REIT Index Fund - Institutional Shares	to	7Z ARP Transition Fund
		from	RP Vanguard® Mid-Cap Index Fund - Institutional Shares	to	7Z ARP Transition Fund
		from	1G JPMorgan Mid Cap Value Fund - Class I Shares	to	7Z ARP Transition Fund
		from	H7 Vanguard® Explorer™ Fund - Admiral™ Shares	to	7Z ARP Transition Fund
		from	KB TIAA-CREF Small-Cap Blend Index Fund - Institutional Class	to	7Z ARP Transition Fund
		from	RK DFA Real Estate Securities Portfolio - Institutional Class	to	7Z ARP Transition Fund
		from	EE TIAA-CREF International Equity Index Fund - Institutional CI	to	7Z ARP Transition Fund
		from	K8 American Funds EuroPacific Growth Fund® - Class R-6	to	7Z ARP Transition Fund

Your

I certify that all information on this form is accurate.

Authorization If I elect a transfer from the XT Connecticut Stable Value Fund to the ARP Transition Fund, I acknowledge that this transfer may occur over a period of up to 120 days after 12/14/2018 and will be effectuated by the managers of the Connecticut Stable Value Fund in a manner that will best protect the interests of all fund investors. I understand that if I am enrolled in GoalMaker/Auto Rebalance and any portion of my account is invested in the Connecticut Stable Value Fund, GoalMaker/Auto Rebalance may be removed from my account. I understand that if GoalMaker is removed/Auto Rebalance, I may reenroll in GoalMaker/Auto Rebalance after the redemption has been processed.

> If it is determined I am not eligible to make an election to transfer into the SERS Tier II/Tier IIA plan or SERS Hybrid Plan or later elect to Opt-Out of such purchase, by signing below, I acknowledge that Prudential will reverse this request and transfer the assets I elected be deposited into the ARP Transition Fund from this fund back into my current allocation election under the respective plan.

> If there are investment options available through your retirement account that are subject to the fund's market timing nts. this n is

	You may wish to review the fund prospectus of transaction request. If a fee applies to the traprocessed by logging on to the retirement intern	or your retirement account's mansaction, you will be able to	narket timing po view the deta	olicy prior to subn ails after the tran	nitting
	X Participant's signature				-
Social Security nun	mber				